

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of )  
)  
Teiji YAMAMOTO ) ATTN: APPLICATION BRANCH  
)  
Application No.: (Not yet assigned) )  
)  
Filed: December 5, 2003 )  
)  
For: ROTARY BUSH TYPE CRAWLER AND )  
ROTARY BUSH TYPE LINK FOR )  
CRAWLER )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. The reference(s) was/were believed by the applicants to be relevant prior art.

KOM-159/INO


PATENT APPLICATION

- ☒ 3. In the event any fees are due with this paper, please charge our Deposit Account No. 18-0013.

Respectfully submitted,

Dated: December 5, 2003

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Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	December 6, 2003
				First Named Inventor	Teiji YAMAMOTO
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	KOM-159/INO

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA**	5,183,318	2/2/93	Taft et al	
	AB**	2003/0141760	7/31/03	Yamamoto	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA**	JP-A-6-504747	6/2/94	Caterpillar Inc.		
	BB**	WO 93/11020	6/10/93	Caterpillar Inc.		
	BC	JP-Y-54-4206	2/24/79	Komatsu Ltd.		
	BD	JP-A-2003-220983	8/5/03	Komatsu Ltd.		
	BE	JP-A-2001-347972	12/18/01	Caterpillar Inc.		
	BF	JP-A-2001-347973	12/18/01	Caterpillar Inc.		
	BG	JP-A-2001-347974	12/18/01	Caterpillar Inc.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*\*CITE NO.: Those patent(s) or publication(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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**References to be listed in the IDS for U.S. Application**

**U.S. Patent Application Number: (unknown)**

**Based on Japanese Patent Application No. 2003-019879**

**Your Ref.:**

**Our Ref.: 03-033/US**

<b>No. Reference No.</b>	<b>Applicant (Assignee)</b>	<b>Translation</b>	<b>Remarks</b>
(A) JP-A-6-504747 (US5,183,318)	Caterpillar Inc.		Cited in the specification Cover page of WO93/11020 and Corresponding US Patent 5,183,318 are attached
(B) JP-Y-54-4206	KOMATSU LTD.	No English Abstract	Cited in the specification
(C) JP-A-2003-220983 (Application No. 2002-22803)	KOMATSU LTD.		Cited in the specification Corresponding US Patent Application 10/349,034 is attached
(D) JP-A-2001-347972	Caterpillar Inc	English Abstract	Having same kind of link configuration structure
(E) JP-A-2001-347973	Caterpillar Inc	English Abstract	Having same kind of link configuration structure
(F) JP-A-2001-347974	Caterpillar Inc	English Abstract	Having same kind of link configuration structure